[Format] Additional Supporting Documents

[List of Supporting Documents]

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| **Category** | **List** | **Submissio n Status****(Y/N)** |  |
| Enclosed.1 | Eligibility Confirmation Form |  |  |
| Enclsed.2 | Consent for Providing and UtilizingPledge for Research Ethics Compliance | Personal | and | Tax | Information | / |  |

**Instructions (Remove upon submission)**

* After preparing additional stpporting doctments, organize them in the order of the list ntmiers into a single PDF file for stimission.
* Indicate stimission statts (Y/N) for each doctment.
* Omission of the main research institttion's seal is permissiile (stistittte with electronic approval of the main institttion via the IRIS stimission system).

En.1

Eligibility Confirmation Form

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| **Eligibility Confirmation Form** |
| Project Title | 2024 Korea-EU Cooperation Promotion Project |
| R&D projectName |  |
| Main R&DInstitution |  | PrincipalInvestigator’s Name |  |
| Total ResearchPeriod |  |
| Please review and check (l) the applicable confirmation boxes belowy as discrepancies will result in the invalidation of the application. If inaccurate information leads to the selection of a tasky the selection may be canceled. Ensure accuracy inyour responses. |
| **Confirmation Items** | **Check** |
| **Yes** | **No** |
| **<Participation Restrictions in National R&D Projects>**l If the principal investigator or participating researcher has any restrictions on participation in R&D projects according to national research support institutionsy is this restriction completely lifted as of one day before the application deadline for this project? (If notapplicabley mark 'Yes'). |  |  |
| **<Project Differentiation>**l Is the new project supported by this program different from projects that have been promoted or are being promoted as national R&D projects (including those from other ministries)? |  |  |
| **<Principal Investigator Qualification>** |
| l Are you preparing to form a consortium (including Koreay three or more countries) with the European side related to Horizon Europe projectapplicationy or are you preparing a proposal? |  |  |
| l Is this application task at a stage prior to the EU side (Horizon Europe) application submission deadline? |  |  |

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| **(If themainy jointy or entrusted research anddevelopment institutionisacompany) Confirmation of Default andInsolvency Risk** | **Check** |
| **Yes** | **No** |
| ① As of the application deadliney is the main research and development institutiony joint research and development institutiony entrusted research and development institutiony or participating company in a state of bankruptcy? |  |  |
| ② As of the application deadliney is there any delinquent disposition of national or local taxes? (Howevery companies that have received re-startup funds through the Small and Medium Business Corporation and the Credit Recovery Committee (Re-Startup Support Committee)y and companies that have received re-challenge business owner recovery support guarantees from the Credit Guarantee Fund and Technology Credit Guarantee Fund are exceptions). |  |  |
| ③ As of the application deadliney is there any default under the Civil Execution Act or by a credit information concentration agency? (Howevery companies that have received re-startup funds through the Small and Medium Business Corporation and the Credit Recovery Committee (Re-Startup Support Committee)y and companies that have received re-challenge business owner recovery support guarantees from the Credit Guarantee Fund and Technology Credit Guarantee Fund are exceptions). |  |  |
| ④ As of the application deadliney has a bankruptcyy rehabilitation procedurey or personal rehabilitation procedure been initiated? (Howevery if the debt repayment is being performed normally according to the rehabilitation plan or repayment plan approved by the courty or if the company has received re-startup funds through the Small and Medium Business Corporation and the Credit Recovery Committee (Re-Startup Support Committee)y and has received re-challenge business owner recovery support guarantees from the Credit Guarantee Fund and Technology Credit Guarantee Fundy it is an exception). |  |  |
| ⑤ As of the application deadliney is the companyy which has been in business for more than 3 years or more since the start of business or the establishment of the corporationy and has a debt ratio of 500%\* or more (excluding debts due to angel investmentsy etc.) on the financial statements of the last 2 years in successiony or is the current ratio continuously 50% or less? (Howevery companies with a comprehensive credit rating of 'BBB' or highery or foreign-invested companies with a foreign investment ratio of 50% or more under the Foreign Investment Promotion Acty and less than 5 years since the establishment date are exceptions). |  |  |
| ⑥ As of the application deadliney is the company in a state of total capital erosion based on the latest settlement? |  |  |
| ⑦ As of the application deadliney for external audit companiesy is the audit opinion for the recent fiscal year a 'disclaimer of opinion' or 'adverse opinion'? |  |  |

The principal investigator hereby confirms that there are no disqualifications related to the

above items and pledges that if any discrepancies are foundy there will be no objection to the cancellation of the application or selection and the recovery of research funds and sanctions in accordance with the regulations on the management of national R&D projects [cancellation of the agreement].

YYYY.MM.DD

Principal Investigator :

(Signature)

Head of main Research Institution : (Institution name)

(Signature)

En.2

Consent for Providing and Utilizing Personal and Tax

Information

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| **1. Consent for Providing and Utilizing Personal and Tax Information** |
| Project Title | 2024 Korea-EU Cooperation Promotion Project |
| R&D projectName |  |
| Main R&D Institution |  | Principal Investigator’sName |  |
| Total ResearchPeriod |  |
| The undersigned and participating personnel understand that the Korea Research Foundation (KRF) needs to utilize personal information related to educationy careery and research achievements for the reviewy evaluationy and agreements of research support projects by the Ministry of Science and ICT. Accordinglyy the undersigned agrees to provide various information protected under the Personal Information Protection Acty Article 18y and the Framework Act on National Taxesy Article 81-13 to the KRF.**① Purpose of Collection and Use**1. Administrative matters related to project selection: Verification of eligibility for research and development task evaluators (exclusiony avoidance)y participation restrictionsy defaulty limits on the number of tasks per persony and other pre-support exclusion verification procedures.
2. Administrative matters related to the conclusion and modification of agreements and the evaluation of research and development outcomes.
3. Administrative matters related to the settlement of research and development expenses: Management of the legality and appropriateness of the payment and use of research and development expenses.
4. Administrative matters related to restrictions on participation in national research and development projectsy recovery of research and development expensesy and imposition of additional penalties.
5. Administrative matters related to the collection and management of royalties.
6. Administrative matters related to the verification and handling of research misconduct.
7. Administrative matters related to tracking and managing research outcomes.

**② Personal and Tax Information to be Collected and Used**1. Name (English name)y gendery date of birthy Science and Technology Personnel Registration Number (National Researcher Number)y telephone numbery mobile phone numbery workplace addressy home addressy emaily fax numbery education (schooly majorydegreey research fieldy etc.)y career (periody positiony etc.)y patent/program application |

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| and registration performancey research paper publication performancey government-funded project performancey overall budget allocation rate for currently ongoing government-funded projectsy payment standard information (annual salaryy monthly receivable amounty etc.)y credit card and financial transaction details for research and development expense expenditurey credit information to verify financial soundnessy tax information to verify the legality of research and development expense usage (only tax information necessary for research and development expense review)y etc.1. The undersigned agrees that the KRF may retain personal and tax information from the time this consent form is completed until the purpose of collection and use is terminated (in the case of participation restrictionsy up to 5 years).
2. The undersigned also agrees that additional participation restriction information provided during the project performance processy along with the information mentioned in Article 1y may be provided to the heads of central administrative agencies or related institutions according to relevant laws such as the Basic Science and Technology Acty Articles 11-2(2)y (3)y (4)y the National Research and Development Innovation Acty Articles 13(6)y 19y and the Framework Act on National Taxesy Article 81-13(1)(7).
3. The undersigned confirms that they have the right to refuse the collection of the above personal and tax informationy and that refusing to provide consent may result in exclusion from the research personnel list or unfavorable evaluation during the project review process.

The undersigned acknowledges that copies of the consent form signed or sealed by the undersigned (including participating researchers and research assistants) are valid as originals for the convenience of collecting various materials necessary for review and evaluation. |
| **Pledge for Research Ethics Compliance** |
| Iy the undersignedy hereby pledge to make every effort to achieve the research project goals and to conduct the research according to the procedures and methods stipulated by relevant regulations and guidelines. I commit to faithfully adhering to the following:Efforts to prevent misconduct (fabricationy falsificationy plagiarismy etc.) in the research process in accordance with the National Research and Development Innovation Act.Familiarization and compliance with regulations related to the use of research funds. Proper documentation and management of research notes.Compliance with relevant laws during human subject research as per the Bioethics and Safety Act.Compliance with relevant laws during animal experiments as per the Animal Protection Act. Adherence to other laws and regulations related to research and development.Participation in (for participating researchers) and support for (for principal investigators) education for proper research conduct.Research notes education by the Korea Intellectual Property Strategy Agency (KISTA). |

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| Research ethics and research fund management education by the National Institute of Science and Technology Human Resources Development (KIRD).Furthermorey I pledge to use the research fundsy including personnel expensesy in accordance with relevant regulations. I acknowledge that using the funds for purposes other than research and development may result in administrative actions such as restrictions on research participationy recovery of research fundsy and imposition of additional penalties. I also recognize that such misuse may lead to legal actionsy including prosecution under Article 437 (Fraud) and Article 356 (Embezzlement and Breach of Trustin Business) of the Criminal Act.YYYY.MM.DD |
| **Category** | **Name****(Representative)** | **Date of Birth (Corporate Registration****Number)** | **Affiliation (Corporate nameᆞTrade name)** | **Signature (Seal)** |
| Main Research Institution (Corporate Business/Individual Business) |  | (000000-0000000) |  |  |
| PrincipalInvestigator |  | YYYY.MM.DD |  |  |
| ParticipatingResearcher |  |  |  |  |
| Student Researcher |  |  |  |  |
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